

IFW
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: RUPERT PFALLER ET AL - 3 US

SERIAL NO.: 10/803,841 GROUP: 1632

FILED: MARCH 18, 2004

TITLE: PROCESS FOR PRODUCING SPORIDILOBOLUS RUINENIAE STRAINS WITH IMPROVED COENZYME Q10 PRODUCTION

REQUEST FOR CORRECTED FILING RECEIPT

MAIL STOP OIPE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Attached is a copy of the official filing receipt received from the Patent and Trademark Office in the above-identified application.

Under "Title", "Process for producing sporidiobolus ruinenihe strains with improved coenzyme Q10 production" should be --Process for producing sporidiobolus ruineniae strains with improved coenzyme Q10 production--.

The title is spelled wrong. This is evidenced by a copy of the declaration which is attached. The filing receipt should be corrected and issuance of a corrected filing receipt is respectfully requested. As this error was on the part of the PTO, no fee should be associated with this request.

Any additional fees may be charged to Deposit Account No. 03-2468.

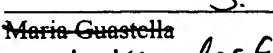
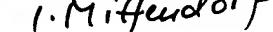
Respectfully submitted,
RUPERT PFALLER ET AL 3 US


Allison C. Collard, Reg. No. 22,532
Edward R. Freedman, Reg. No. 26,048
Attorneys for Applicants

COLLARD & ROE, P.C.
1077 Northern Boulevard
Roslyn, New York 11576
(516) 365-9802

Enclosure: 1. Copy of Official Filing Receipt
2. Copy of Declaration

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Alexandria, VA 22313-1450, on July 2, 2004.


Maria Guestrina

I. Mitterendorf



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/803,841	03/18/2004	1632	770	PFALLER ET AL -3 US		13	1



COLLARD & ROE, P.C.
 1077 Northern Boulevard
 Roslyn, NY 11576

CONFIRMATION NO. 6430

FILING RECEIPT



OC000000012867958

Date Mailed: 06/04/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Rupert Pfaller, Munchen, GERMANY;
 Susanne Leonhartsberger, Munchen, GERMANY;

Assignment For Published Patent Application

Consortium Fur Electrochemische Industrie GmbH;

Domestic Priority data as claimed by applicant

Foreign Applications

GERMANY 103 17 877.5 04/17/2003

If Required, Foreign Filing License Granted: 06/03/2004

Projected Publication Date: 10/21/2004

Non-Publication Request: No

Early Publication Request: No

Title

ruineniae
 Process for producing sporidiobolus ruineniae strains with improved coenzyme Q10 production

Preliminary Class

435

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).